MAY 2 3 2003 W

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	
BLAIR, Steven M.	Group Art Unit: 2661
CAMPBELL, Larry L.	
Serial No.: 10/041,682)	Examiner: Unassigned
Filing Date: January 7, 2002)	Atty. Ref.: IPG1 (012706.000009)
For: OPTICAL COMMUNICATION SYSTEM)	,
USING COHERENCE MULTIPLEXING)	
IN AN OPTICAL DWDM NETWORK)	RECEIVED

"Express Mail" Mailing Label Number: EV333127784US

Date of Deposit: May 23, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above to the Commissioner for Patents, P. O. Box 1450, Alexandria, V.A. 22313-1450.

R. STEVAN COURSEY

Reg. No. 39,949

Signature

<u>TRANSMITTAL LETTER</u>

May 23, 2003

MAY 2 8 2003

Technology Center 2600

Commissioner for Patents

P. O. Box 1450

Alexandria, V. A. 22313-1450

Sir:

Enclosed please find the following items for filing in connection with the above-

identified patent application:

- (1) Information Disclosure Statement;
- (2) Substitute PTO Form 1449;
- (3) Copies of the listed references; and,

(4) Return postcard.

No fee is believed to be due in connection with the Information Disclosure Statement forwarded herewith. However, if any fee is deemed to be due, please charge such fee to Deposit Account No. 20-1507.

Respectfully submitted,

R. Stevan Coursey

Reg. No. 39,949

Attorney for Applicant

Troutman Sanders LLP Bank of America Plaza, Suite 5200 600 Peachtree Street, N.E. Atlanta, Georgia 30308-2216 (404) 885-3632

MAY 2 3 2003 E

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
BLAIR, Steven M.	Group Art Unit: 2661
CAMPBELL, Larry L.	-
Serial No.: 10/041,682	Examiner: Unassigned
Filed: January 7, 2002)	Atty. Docket No.: IPG1 (012706.000009)
For: OPTICAL COMMUNICATION SYSTEM)	
USING COHERENCE MULTIPLEXING)	
IN AN OPTICAL DWDM NETWORK)	RECEIVED
)	2002
TO 100 100 100 100 100 100 100 100 100 10	MAY 2 8 2003
"Express Mail" Mailing Label Number: EV333127784US Date of Deposit: May 23, 2003	Technology Center 2600
I hereby certify that this correspondence is being deposited	
with the United States Postal Service "Express Mail Post	
Office to Addressee" service under 37 CFR 1.10 on the date	
indicated above to the Commissioner for Patents,	
P. O. Box 1450, Alexandria, V.A. 223-13-1450.	
R. STEVAN COURSEY	
Reg. No. 29,949	
117/80/0	•
Signature	

INFORMATION DISCLOSURE STATEMENT

May 23, 2003

Commissioner for Patents P. O. Box 1450 Alexandria, V.A. 22313-1450

Sir:

Applicant hereby voluntarily discloses the references listed on the attached Substitute Form PTO-1449A to the United States Patent and Trademark Office for the above-identified patent application. A copy of each of the listed references is enclosed herewith.

The submission of these references shall not serve as an indication that a search has been conducted on the claimed subject matter, nor shall the submission be deemed an admission that

these references are, or are considered to be, material to the patentability of the above-identified patent application.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action on the merits. Therefore, Applicant believes that no fee is due under 37 C.F.R. §1.17. However, if a fee is deemed to be due, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 20-1507.

Respectfully submitted,

TROUTMAN SANDERS LLP

R. Stevan Coursey

Registration No. 39,949

Attorney for Applicant

Troutman Sanders LLP Bank of America Plaza, Suite 5200 600 Peachtree Street, N.E. Atlanta, Georgia 30308-2216 (404) 885-3632

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete If Known Substitute for form 1449A/PTO Application Number 10/041.682 January 7, 2002 INFORMATION DISCLOSURE Filing Date BLAIR, Steven M. First Named Inventor STATEMENT BY APPLICANT 2661 (use as many sheets as necessary) Group Art Unit Unassigned **Examiner Name** IPG1 (012706.000009) of Attorney Docket Number Sheet

			U.S. PATE	NT DOCUMENTS	
Examiner Initials	Cite No.1	U.S. Patent Document Number Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,882,775	11/21/1989	Coleman	
		5,606,446	02/25/1997	Davis et al.	
		5,691,832	11/25/1997	Liedenbaum et al.	
_		6,111,679	08/29/2000	Fishman	RECEIVED
					MAY 2 8 2003
					Technology Center 260

		FOREIGN Foreign Patent Document			PATENT DOCU	MIENIS	Pages, Columns, Lines,		
Examiner Initials*	Cite No. ¹	Cite No. ¹	Country Code ³	Number 4	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
				1 1			· · · · · · · · · · · · · · · · · · ·		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing and submitted the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestion for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.